



10/729127

CJC

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re United States Letters	)	Group Art Unit: 3671
	)	
Patent No.: 7,021,393	)	Examiner: Christopher J. Novosad
	)	
Granted: April 4, 2006	)	
	)	
Inventor: GABRIEL G. KHAIRALLAH, ET AL.	)	
	)	
Title: LANDSCAPE TILLER	)	
	)	
Attorney Docket No.: 03-173	)	

Peoria, Illinois 61629-6490  
May 30, 2006

Attention Certificate of Corrections Branch  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Certificate  
JUN 06 2006  
of Correction

REQUEST FOR CERTIFICATE OF CORRECTION UNDER 37 CFR 1.323

Sir:

On May 30, 2006, a Petition Request under 37 CFR 3.81(b) was submitted to the Petitions Branch requesting a correction regarding the incorrect Assignee designation on form PTOL-85B submitted with payment of the Issue Fee, thus appearing incorrectly as Caterpillar Inc. on the from on U.S. Patent 7,021,393. Upon grant of said Petition, the Petitions Branch was requested to forward the REQUEST FOR CERTIFICATE OF CORRECTION UNDER 37 CFR 1.323 to the Certificate of Corrections Branch.

This is to request the issuance of a Certificate of Correction under 35 U.S.C. 255. Upon reviewing the above-identified patent, the Agent of Record noted an error that should be corrected. Please charge the required fee to Deposit Account 03-1129.

06/05/2006 AWONDAF1 00000086 031129 7021393

01 FC:1811 100.00 DA

JUN 6 2006

Transmitted herewith are two copies of PTO-1050 containing the text of the desired corrections.

Respectfully submitted,

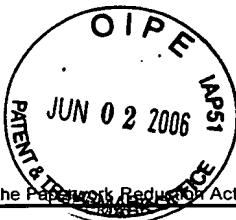


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William A. Beckman  
Registration No. 57,589  
Caterpillar Inc.

Telephone: (309) 675-5187  
WAB:glg  
Enc.

JUN 6 1986



PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	10/729,127
	Filing Date	12/5/2003
	First Named Inventor	Gabriel G. Khairallah ET AL.
	Art Unit	3671
	Examiner Name	Christopher J. Novosad
Total Number of Pages in This Submission	Attorney Docket Number	03-173

**ENCLOSURES (Check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosures(s) (please Identify below):  Request for Certificate of Correction
<b>Remarks</b>		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	William A. Beckman, Registration No. 57,589
Signature	<i>William A. Beckman</i>
Date	May 30, 2006

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope address to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on this date: 05/30/2006			
Typed or printed name	William A. Beckman		
Signature	<i>William A. Beckman</i>	Date	5/30/2006

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 37 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

JUN 02 2006

**UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION**

PATENT NO.: 7,021,393  
DATED : April 4, 2006  
INVENTOR(S) : GABRIEL G. KHAIRALLAH, ET AL.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Please make the following corrections to the cover sheet of patent as follows:

**Assignee: delete "Caterpillar Inc., Peoria, IL (US)" and insert -- Caterpillar S.A.R.L., Geneva, Switzerland--**

MAILING ADDRESS OF SENDER:

Caterpillar Inc.  
100 NE Adams St.  
Peoria, IL 61629-6490

PATENT NO. 7,021,393

No. of additional copies



This collection of information is required by 37 CFR 1.322, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Please make the following corrections to the cover sheet of patent as follows:

**Assignee: delete "Caterpillar Inc., Peoria, IL (US)" and insert -- Caterpillar S.A.R.L., Geneva, Switzerland--**

MAILING ADDRESS OF SENDER:  
Caterpillar Inc.  
100 NE Adams St.  
Peoria, IL 61629-6490

PATENT NO. 7,021,393

No. of additional copies



This collection of information is required by 37 CFR 1.322, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

JUN 6 2006



DLH/KAV

# UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JUNE 25, 2004

PTAS



\*102624690A\*

CATERPILLAR INC. CAW  
TODD A. BENNI  
100 N. E. ADAMS STREET  
INTELLECTUAL PROPERTY DEPARTMENT, AB6490  
PEORIA, IL 61629-6490

## UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 12/05/2003

REEL/FRAME: 014776/0882  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

KHAIRALLAH, GABRIEL G.

DOC DATE: 11/24/2003

ASSIGNOR:

NORMANN, JAMES B.

DOC DATE: 11/24/2003

ASSIGNOR:

PEPE, MICHAEL A.

DOC DATE: 11/24/2003

ASSIGNEE:

CATERPILLAR S.A.R.L.  
76, ROUTE DE FRONTENEX  
C/O CATERPILLAR OVERSEAS S.A.  
1211 GENEVA, SWITZERLAND

RECEIVED  
INTELLECTUAL PROPERTY

JUL 06 2004

CATERPILLAR INC.

62006  
02-172

014776/0882 PAGE 2

SERIAL NUMBER: 10729127  
PATENT NUMBER:  
TITLE: LANDSCAPE TILLER

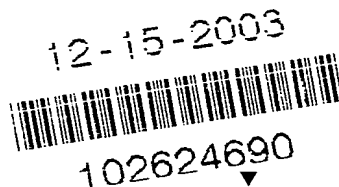
FILING DATE: 12/05/2003  
ISSUE DATE:

SAUNDRA BALLENGER, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

JUN 6 2006

Form PTO-1595  
(Rev. 10/02)  
OMB No. 0651-0027 (exp. 6/30/2005)  
Tab settings ⇨ ⇨ ⇨ ▼ ▼

R



U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Gabriel G. Khairallah

James B. Normann

Michael A. Pepe

12-05-03

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name:

Caterpillar S.A.R.L.

Internal Address:

c/o Caterpillar Overseas S.A.

76, Route de Frontenex

1211 Geneva, SWITZERLAND

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒

Assignment

☐

Merger

☐

Security Agreement

☐

Change of Name

☐

Other

11/24/2003

Execution Date: 11/24/2003

11/24/2003

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 11/24/2003

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Todd A. Benni

Caterpillar Inc.

Intellectual Property Department, AB6490

100 N. E. Adams Street

Peoria IL 61629-6490

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) ..... \$ 40.00

☐

Enclosed

☒

Authorized to be charged to deposit account

8. Deposit account number:

03-1129

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9. Signature.

Docket Number: 03-173

Todd A. Benni

Name of Person Signing

Todd A. Benni

Signature

12/05/2003

Date

Total number of pages including cover sheet, attachments, and documents: 03

Mail documents to be recorded with cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

12/12/2003 LMEILLER 00000089-031129-10729127

01 FC:6021

40.00 DA

JUN 6 2006



## ASSIGNMENT

WHEREAS, Gabriel G. Khairallah, James B. Normann, and Michael A. Pepe, residing respectively at Carrboro, North Carolina; Holly Springs, North Carolina; and Chapel Hill, North Carolina, hereinafter referred to as ASSIGNORS, have invented a new and useful

### LANDSCAPE TILLER

for which ASSIGNORS are about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNORS on 11/24/03, 11/24/03, and 11/24/03; and

WHEREAS, Caterpillar S.A.R.L., a corporation duly organized and existing under the laws of Switzerland, domiciled at c/o Caterpillar Overseas S. A., 76, Route de Frontenex, 1211 Geneva, Switzerland, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNORS from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNORS;

1. ASSIGNORS hereby sell, assign, transfer and convey unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNORS have the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNORS agree to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNORS hereby authorize and request the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to Caterpillar S.A.R.L.

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNORS, ASSIGNORS' heirs and legal representatives.

JUN 6 2006

IN TESTIMONY WHEREOF, ASSIGNORS have executed this instrument.

  
GABRIEL G. KHAIRALLAH

11/24/03  
Date

IN WITNESS OF the execution hereof by GABRIEL G. KHAIRALLAH we have hereunto set our hands.

TH. A. Hughes, Jr. 11/24/2003  
Witness (1) THOMAS A. HUGHES, JR. Date

Address: 811 BRENT. RD.  
RALEIGH, NC 27606


 11/24/03  
Witness (2) ALESSANDRO MAGLIULO Date

Address: 113 TRUMBELL CIR  
MORRISVILLE, NC 27560

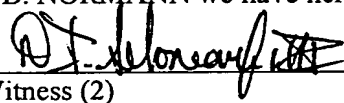
  
JAMES B. NORMANN

11/24/03  
Date

IN WITNESS OF the execution hereof by JAMES B. NORMANN we have hereunto set our hands.

 11/24/03  
Witness (1) Date

Address: GABRIEL KHAIRALLAH  
100 ROCK HAVEN RD. #E203  
CARRBORO, NC 27510

 11/24/03  
Witness (2) Date

Address: Doyle T. Arboneaux, III  
3300 Langston Circle  
APEX, NC 27539

  
MICHAEL A. PEPE

11/24/03  
Date

IN WITNESS OF the execution hereof by MICHAEL A. PEPE we have hereunto set our hands.

 11/24/03  
Witness (1) Date

Address: 3000 Mills Lake Wynd  
Holly Springs NC 27540

 11/24/03  
Witness (2) Date

Address: 1207 KELTY CT  
CARY NC 27511

JUN 6 2006